

Nunavut Fee Schedule – Securities

Effective Date: April 1, 2013

SERVICE	FEE
application for registration as a dealer or adviser, regardless of the number of categories of registration to which the application relates	\$750
application for registration as an investment fund manager	\$750
annual fee payable by each registered dealer or adviser, due no later than December 31 each year	\$750
application for registration as a representative, ultimate designated person or compliance officer of a registered dealer or adviser	\$250
application for registration as a representative of more than one registered dealer or adviser, per each additional dealer and adviser	\$250
annual fee payable by each representative, ultimate designated person or compliance officer of a registered dealer or adviser, due no later than December 31 each year, per each additional dealer and adviser	\$250
application for a transfer of registration of a person as a representative of a registered dealer or adviser	\$100
application to change the status of a registered representative to that of a partner or officer of a dealer or adviser	\$100
application by a dealer, adviser or individual to change the primary registration category in respect of which the dealer, adviser or an individual is registered	\$100
filing a preliminary prospectus or pro forma prospectus (for each class of security)	\$350
filing an amendment to a preliminary, pro forma, or other prospectus (for each class of security affected by the amendment)	\$50
filing a take over bid or issuer bid circular, and any amendment to a take over bid or issuer bid circular	\$200
filing a directors', individual director's or officers' circular in respect of a take over bid or issuer bid, and any amendment to such a circular	NIL
filing a rights offering circular, and any amendment to a rights offering circular	\$100
filing an offering memorandum, and any amendment to an offering memorandum	\$100
filing a report of an exempt distribution in accordance with Form 45 106F1 under National Instrument 45 106 Prospectus and Registration Exemptions	\$25
filing by an issuer of an annual information form under National Instrument 51 102 Continuous Disclosure Obligations or under National Instrument 81 106 Investment Fund Continuous Disclosure	\$400
application for exemptive relief or application for designation or recognition under the Act	\$250
filings or approvals not otherwise provided for in this Schedule	NIL
SEARCHES, CERTIFICATES AND PHOTOCOPIES	
searches	Nil
a certified statement referred to in section 19 of the Act provided by the Superintendent on request	\$10
certificate of true copy of an original document	\$5
photocopies (for each page)	\$1
facsimile transmissions (for each page)	\$2